

Audit & Standards Committee

6 September 2017

Agenda

The Audit and Standards Committee will meet in **Committee Room 2, Shire Hall, Warwick** on **6 September 2017** at **10:00a.m.**

1. General

(1) Apologies

(2) Members' Disclosures of Pecuniary and Non-Pecuniary Interests.

Members are required to register their disclosable pecuniary interests within 28 days of their election or appointment to the Council. A member attending a meeting where a matter arises in which s/he has a disclosable pecuniary interest must (unless s/he has a dispensation):

- Declare the interest if s/he has not already registered it
- Not participate in any discussion or vote
- Must leave the meeting room until the matter has been dealt with.
- Give written notice of any unregistered interest to the Monitoring Officer within 28 days of the meeting

Non-pecuniary interests must still be declared in accordance with the Code of Conduct. These should be declared at the commencement of the meeting.

(3) Minutes of the Audit and Standards Committee meeting held on 1st June 2017 and Matters Arising

The public reports referred to are available on the Warwickshire Web
www.warwickshire.gov.uk/cmis

EXEMPT ITEMS FOR DISCUSSION IN PRIVATE (PURPLE PAPERS)

2. Reports Containing Confidential or Exempt Information

To consider passing the following resolution:

‘That members of the public be excluded from the meeting for the items mentioned below on the grounds that their presence would involve the disclosure of exempt information as defined in paragraph 3 of Schedule 12A of Part 1 of the Local Government Act 1972’.

3. Exempt minutes of the Audit and Standards Committee meeting held on 1st June 2017 and Matters Arising

ITEMS FOR DISCUSSION IN PUBLIC – The committee will return to public session.

4. External Auditors Audit Findings Report 2016/17

The Committee is asked to endorse the Annual Governance Report of the External Auditors for Warwickshire County Council and consider whether there are any matters it wishes to bring to the attention of Council.

5. Statement of Accounts 2016/17

The Committee is asked to consider the 2016/17 Statement of Accounts and recommend them to Council for approval.

6. Annual Governance Statement 2016/2017

The Committee is asked to endorse the Annual Governance Statement for 2016/17 prior to submission to Cabinet and Council.

7. Warwickshire Pension Fund Statement of Accounts & Annual Governance Report 2016/17

The Committee is asked to consider the 2016/17 Pension Fund Statement of Accounts and recommend them to Council for approval.

8. External Auditors Progress and Update Report

The Audit and Standards Committee is asked to consider and make any comments on the attached External Auditors Progress and Update Report

9. Counter Fraud, Bribery and Corruption Framework

The Committee is asked to consider the updated Counter Fraud, Bribery and Corruption Framework set out in this report and recommend it for Cabinet approval.

The public reports referred to are available on the Warwickshire Web

www.warwickshire.gov.uk/cmis

10. Internal Audit – External Quality Assessment

The Committee is asked to note the appointment of Gateway Assure Limited to undertake an external quality assessment of internal audit during 2017/18 in accordance with the Public Sector Internal Audit Standards.

11. Whistleblowing Policy

The Committee is asked to endorse the revised Whistleblowing Policy and Essentials document for onward submission to Cabinet.

12. Work Programme and Future Meeting Dates

To consider items for the committee's work programme and future meeting dates to be held in Shire Hall at 10:00 a.m. as follows:

- Thursday 2nd November 2017
- Wednesday 7th February 2018

13. Any Other Business

Membership of the Audit and Standards Committee

Councillors: Parminder Singh Birdi, Mark Cargill, Andy Crump, Jill Simpson-Vince, John Holland, Bill Gifford.

Independent Members: John Bridgeman CBE (Chair) and Bob Meacham OBE

For queries regarding this agenda, please contact:

Ben Patel-Sadler, Democratic Services Officer

Tel: 01926 736 118, e-mail: benpatelsadler@warwickshire.gov.uk

DAVID CARTER
Joint Managing Director
Warwickshire County Council
August 2017

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www.warwickshire.gov.uk/cmis